



***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: William E. KLUNK et al.

Title: BENZOTHAZOLE DERIVATIVE  
COMPOUNDS, COMPOSITIONS  
AND USES

Appl. No.: Unassigned

Filing Date: August 22, 2003

**UTILITY PATENT APPLICATION**  
**TRANSMITTAL**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

William E. KLUNK  
Chester A. MATHIS, Jr.  
Yanming WANG

☒ Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ Specification, Claim(s), and Abstract (38 pages).
- ☒ Formal drawings (4 sheets, Figures 1, 2, 3 & 4).
- ☐ Assignment of the invention to UNIVERSITY OF PITTSBURGH.
- ☒ Small Entity statement.
- ☒ Application Data Sheet (37 CFR 1.76).

13281 U.S. PRO  
08/22/03

Atty. Dkt. No. 076333-0323

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$750.00	\$750.00
Total Claims:	27	- 20	= 7	x \$18.00	= \$126.00
Independents:	1	- 3	= 0	x \$84.00	= \$0.00
If any Multiple Dependent Claim(s) present:				+ \$280.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= \$130.00
				SUBTOTAL:	= \$1006.00
[ ] Small Entity Fees Apply (subtract ½ of above):					= \$503.00
				TOTAL FILING FEE:	= \$503.00

- [ ] A check in the amount of \$0.00 to cover the filing fee is enclosed.
- [ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [ ] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 22 August 2003

By S. A. Bent

FOLEY & LARDNER  
Customer Number: 22428

Stephen A. Bent  
Attorney for Applicant  
Registration No. 29,768



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PATENT TRADEMARK OFFICE

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**ASSERTION OF SMALL ENTITY STATUS**

Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.27 (c)(1), Applicants hereby assert entitlement to small entity status in the above-identified application.

Respectfully submitted,

Date 22 August 2003

By S. A. Bent

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